

DEC 17 2004

**FAX TRANSMISSION****DATE:** December 17, 2004**PTO IDENTIFIER:** Application Number 10/709,329-Conf. #3328  
Patent Number**Inventor:** Earl Rotman et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (703) 872-9326**FROM:** DARBY & DARBY P.C.

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**PHONE:** (212) 527-7700**Attorney Dkt. #:** 20107/1200838-US1**PAGES (Including Cover Sheet):** 8**CONTENTS:** Supplemental Amendment After Non-Final Office Action (4 pages), including Attachment A (Drawings Replacement Sheet, 3 sheets, Figures 6, 7 and 8)

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PTO/SB/87 (09-04)

Approved for use through 07/31/2006. OMB 0851-0031

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Application No. (if known): 10/709,329

Attorney Docket No.: 20107/1200838-US1

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Supplemental Amendment After Non-Final Office Action (4 pages) ),  
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**Customer No.: 07278**  
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File No. 20107/1200838-US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Earl ROTMAN et al.

Confirmation No. 3328

Serial No.: 10/709,329

Group Art Unit: 3628

Filed: April 28, 2004

Examiner: Nga B. Nguyen

For: SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS

December 17, 2004

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL AMENDMENT AFTER NON-FINAL OFFICE ACTION**

Sir:

Applicant submits this Supplemental Amendment and requests entry of same in the present application.

Amendments to the Drawings begin on page 2 of this paper and include Attachment A, which contains replacement drawing sheets.

Remarks begin on page 3 of this paper.

Serial No.: 10/709,329

Page 1

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